



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

25153-004

2168
#10
BT

9-29-03

Re Application of:

Luis E. GUTIERREZ-SHERIS

Examiner: To be assigned

Group Art Unit: 2166

Serial No.: 09/829,614

Filed: August 10, 2000

For: MONEY-TRANSFER TECHNIQUES

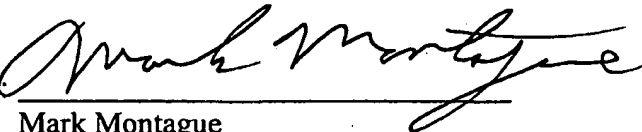
Mail Stop:
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

In compliance with the duty of disclosure set forth in 37 C.F.R. 1.56, Applicants are filing this Information Disclosure Statement and the accompanying form PTO-1449, along with copies of the references cited therein.

Since this Information Disclosure Statement is being submitted prior to the issuance of a first office action on the merits, no fee is believed due.

Respectfully submitted,

By 
Mark Montague
Reg. No. 36,612

COWAN, LIEBOWITZ & LATMAN, P.C
1133 Avenue of the Americas
New York, New York 10036-6799
(212) 790-9200

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Date of Deposit

Mark Montague

Attorney

Signature

May 29, 2003

Date of Signature

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Account No. 03-3415.



IN THE UNITED STATES
PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicant: Luis Eduardo GUTIERREZ-SHERIS

Case: Unisphere-13/3

Serial No.: 09/829,614

Filed: April 10, 2001

Group Art Unit: 2166

Confirmation No.: 7999

Examiner:

Title: MONEY-TRANSFER TECHNIQUES

COMMISSIONER FOR PATENTS
Washington, D. C. 20231

S I R:

DISCLOSURE STATEMENT

The applicant respectfully requests that the following references be considered in the examination of the above-identified application. A copy of each reference is enclosed.

United States Patent

<u>Patent No.</u>	<u>Inventor</u>	<u>Class</u>	<u>Issue Date</u>
AA. 5,903,881	Schrader et al	705/42	05/11/99
AB. 5,898,154	Rosen	235/379	04/27/99
AC. 5,799,087	Rosen	380/24	08/25/98
AD. 5,513,250	McAllister	379/91	04/30/96
AE. 5,408,513	Busch, Jr. et al	379/59	04/18/95
AF. 5,283,829	Anderson	380/24	02/01/94
AG. 4,999,806	Chernow et al	364/900	03/12/91
AH. 4,758,714	Carlson et al	235/380	07/19/88



Publications

AI. Amazon.com: Safe Ordering, downloaded on October 4, 2000 from <http://www.amazon.com/exec/obidos/subst/help/security-guarantee.html/104-5402424-2276726>.

AJ. Barnes & Noble.com Help Desk, downloaded on October 4, 2000 from http://www.barnesandnoble.com/help/nc_safe_shopping.asp?userid=2UJHVR1I5M.

AK. Barnes & Noble.com Help Desk, downloaded on October 4, 2000 from http://www.barnesandnoble.com/help/po_payment_options.asp?userid=2UJHVR1I5M.

AL. UniTeller® Servicio Uniteller®, Money Transfer CardSM, Instructions Manual (© 1999, Unisphere Teller).

REMARKS

Under Rule 37 C.F.R. § 1.98(a) (effective March 16, 1992), the applicant submits that no specific comments are necessary for the above-cited English language references.



For the Examiner's convenience, the applicant has also enclosed a completed modified PTO-1449 form.

Respectfully submitted,

September 4, 2001

Peter L. Michaelson, Attorney
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CERTIFICATE OF MAILING under 37 C.F.R. 1.8(a)

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30,090

Reg. No.



IN THE UNITED STATES
PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

5
#1
7-16-02
2166
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JUL 09 2002

Technology Center 2100

Applicant: Luis E. GUTIERREZ-SHERIS

Atty. Doc. No.: Unisphere-13/3

Serial No.: 09/829,614

Filed: April 10, 2001

Group Art Unit: 2166

Confirmation No.: 7998

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JUL 10 2002

GROUP 3600

Examiner:

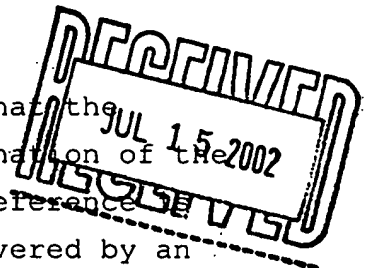
Title: MONEY-TRANSFER TECHNIQUES

COMMISSIONER FOR PATENTS
Washington, D.C. 20231

S I R:

DISCLOSURE STATEMENT

The applicant respectfully requests that the following references be considered in the examination of the above-identified application. A copy of each reference is enclosed. If any of these references were uncovered by an international or foreign search as part of a counterpart application filed by the applicants, then a copy of the search report is also enclosed.



United States Patents

<u>Patent No.</u>	<u>Issued</u>	<u>Inventor</u>	<u>Class</u>
AA. 6,021,202	Feb. 1, 2000	Anderson et al	380/25
AB. 5,963,647	Oct. 5, 1999	Downing et al	380/24
AC. 5,650,604	Jul. 22, 1997	Marcous et al	235/379

PCT International Publications

<u>Publication No.</u>	<u>Date Published</u>
AD. 00/75889	Dec. 14, 2000
AE. 00/67220	Nov. 9, 2000
AF. 00/63809	Oct. 26, 2000
AG. 99/18549	Apr. 15, 1999

REMARKS

Under Rule 37 C.F.R. § 1.98(a) (effective March 16, 1992), the applicants submit that no specific comments are necessary for the above-cited English language references.


For the Examiner's convenience, the applicants have also enclosed a completed modified form PTO-SB/08A.

Lastly, in accordance with Rule 37 C.F.R. §§ 197.7(c) and 1.97(e)(1), the undersigned attorney certifies that each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to filing this statement. In this regard, the communication from the PCT Patent Office is dated June 25, 2002 and forwarded the PCT Search Report; a copy of this

communication and, as noted above, the search report is enclosed herewith.

Respectfully submitted,


July 1, 2002


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Signature

30,090
Reg. No.

+

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Substitute for form 1449A/PTO

Complete if Known

(use as many sheets as necessary)

Sheet

1

of

1

Application Number

09/829,614

Filing Date

August 10, 2000

First Named Inventor

GUTIERREZ-SHERIS

Group Art Unit

2166

Examiner Name

Ella Colbert

Attorney Docket Number

25153-004

[illegible][illegible]

**Examiner
Signature**

Date
Considered

2/2/20.4

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.**

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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete If Known	
				Application Number	09/829,814
				Filing Date	April 10, 2001
				First Named Inventor	Gutierrez-Sheris
				Group Art Unit	2166
				Examiner Name	TBA
Sheet	1	of	1	Attorney Docket Number	25153-004

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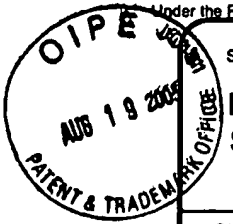
Examiner Signature		Date Considered	2/2/2007
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¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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PTO/SB/08A (10-96)
Approved for use through 10/31/99. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
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**Complete if Known**

Application Number	09/829,614
Filing Date	April 10, 2001
First Named Inventor	Gutierrez-Sheris
Group Art Unit	2166
Examiner Name	TBA
Attorney Docket Number	25153-004

Sheet 1 of 1

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Date	2/2/2005
Considered	

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Application Number	09/829,614
Filing Date	April 10, 2001
First Named Inventor	Gutierrez-Sheris
Group Art Unit	2166
Examiner Name	TBA
Attorney Docket Number	25153-004

(use as many sheets as necessary)

Sheet	2	of	2
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[illegible]Date
Considered

2/2/208-

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Complete if Known

Application Number	09/829,614
Filing Date	August 10, 2000
First Named Inventor	GUTIERREZ-SHERIS
Group Art Unit	2166
Examiner Name	Ella Colbert
Attorney Docket Number	25153-004

Sheet	1	of	1
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U.S. PATENT DOCUMENTS

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FOREIGN PATENT DOCUMENTS

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**Examiner
Signature**

Date
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2/2/2000

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet	1	of	1
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Complete if Known

Application Number	09/829,614
Filing Date	April 10, 2001
First Named Inventor	Luis E. GUTIERREZ-SHERIS
Group Art Unit	2166
Examiner Name	
Attorney Docket Number	Unisphere-13/3

Attorney Docket Number	Unisphere-13/3
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FOREIGN PATENT DOCUMENTS

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International Search Report Included

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Complete if Known

Application Number	09/829,614
Filing Date	April 10, 2001
First Named Inventor	L.E. GUTIERREZ-SHERIS
Group Art Unit	2166
Examiner Name	
Attorney Docket Number	Unisphere-13/1

U.S. PATENT DOCUMENTS

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FOREIGN PATENT DOCUMENTS

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2/2/2005

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(u13.3sb08:9/ka)

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Complete if Known

Application Number	09/829,614
Filing Date	April 10, 2001
First Named Inventor	L. E. GUTIERREZ-SHERIS
Group Art Unit	2166
Examiner Name	
Attorney Docket Number	Unisphere-13/1

Sheet	2	of	2
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OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials [*]	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
KG	AI	Amazon.com: Safe Ordering, downloaded on October 4, 2000 from http://www.amazon.com/exec/obidos/subst/help/security-guarantee.html/104-5402424-2276726 .	
KG	AJ	Barnes & Noble.com Help Desk, downloaded on October 4, 2000 from http://www.barnesandnoble.com/help/nc_safe_shopping.asp?userid=2UJHVR115M .	
KG	AK	Barnes & Noble.com Help Desk, downloaded on October 4, 2000 from http://www.barnesandnoble.com/help/po_payment_options.asp?userid=2UJHVR115M .	
KG	AL	Uniteller Servicio Uniteller, Money Transfer Card, Instructions Manual (copyright 1999, Unisphere Teller)	

Examiner Signature		Date Considered	2/2/2002
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(u13.3sb08:9/ka)